



### Summary of the Business Continuity Plan

ICON Advisers, Inc. ("ICON") serves as the investment adviser to clients invested in mutual fund allocation programs, institutional accounts and to other separately managed accounts. ICON also serves as the investment sub-adviser to the ICON Funds. In the event that an emergency, either man made or natural, should disrupt ICON's day-to-day operations, ICON has implemented a Business Continuity Plan ("BCP") to guide ICON's response and recovery of normal business operations. Since the timing and impact of disasters and disruptions are unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our BCP.

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, and protecting the firm's books and records. In short, our BCP is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe.

In a disruption to only our firm or the building our firm is in, ICON would be able to re-establish all mission-critical systems through electronic access to ICON's cloud based servers. All mission-critical servers are located at a third-party secure data facility. In a disruption affecting our business district, city or region, we will transfer our operations to a site outside of the affected area, and expect to recover and resume business within two days. Under either scenario, ICON will be able to conduct all business necessary to ensure that ICON's obligations to its clients are being met, that its employees are being communicated with, and that the various regulators are being informed regarding the status of ICON's business operations. ICON tests its ability to restore its mission-critical systems on a periodic basis.

If you have any questions regarding ICON's BCP, please contact the Emergency Response Coordinator, Brian Callahan, or the Backup Emergency Coordinator, Scott Callahan, at (303) 790-1600.