



Fee Schedule For Equity Advisors Services (EAS) Accounts

Mutual Funds¹	
Non-Transaction Fee Funds	No Charge
Transaction Fee Funds	Included
Special Service Fees (Charged at time of service)	
Wire Transfer Fee - Domestic (Outgoing)	\$30 each
Wire Transfer Fee – International (Outgoing)	\$50 each
NSF/Return check Fee	\$30 each
Stop Payment Fee	\$25 each
Mandatory Reorg Corporate Actions	\$25 per item
Voluntary Tender/Exchange Offer/Bond Puts	\$10 per collection
Coin Shipping/Handling Fee (\$50 Min.)	Cost +\$10
Overnight Mail Service Fee*	\$25 each
Precious Metals Storage Fee	\$150 ²
Document Retrieval/Research Fee	\$40 per hour
Paper Statement Fee ³	\$7.50 per quarter
Electronic Statements, Proxy/Prospectus Delivery and Reports	No charge
Termination Fees	
Full Termination Fee	\$50 per account
Per Transaction Fees	
Stocks	
Equity Securities/ETFs	Included
Options	
Options	\$ 18 Allocation Fee (plus \$1.00 per contact)
Fixed Income Investments (Can Only Be Purchased Through Broker Assistance)	
Bonds, Mortgage-Backed Securities, OTC Corporate Bonds, Gov. Securities, Collateralized Mortgage-Backed Obligations, Money Market Instruments/ CDs, Municipal Bonds, Unit Investment Trusts, and Zero Coupon Bonds	Included
MicroCap	
Microcap and unregistered Securities	Pricing upon request
Transfer Out Fees	
ACAT – Non-ACAT (Full or Partial) Transfer Out	\$75/Brokerage Account
Brokerage Postage & Handling Fee	
Charge by the Clearing Firm (Axos)	\$3.50 Per account ³
Miscellaneous Brokerage Fees	
Includes, but not limited to, clearance or transfer of restricted stock, physical security processing, Penny Stock re-registration and Free Deliveries DTC	Pricing upon request
Transfer Agent Charges	As incurred by Clearing firm passed through to ETC Brokerage

¹ Such transactions are executed by a third-party mutual fund platform provider. ² Charges at Account establishment and each January thereafter. ³ This fee may be avoided by enrolling in E-Delivery. * Delivery fees may increase for Saturday or remote site deliveries