

**1. Investment Instructions**\_\_\_\_\_  
Account holder Name(s)\_\_\_\_\_  
ICON Account Number

Please move \_\_\_\_\_% or \$ \_\_\_\_\_

**From: Current Investment Election** (Check One)

- U.S. Growth Portfolio
- U.S. Moderate Portfolio
- U.S. Conservative Portfolio
- U.S. Income Portfolio
- International Growth Portfolio
- Global Growth Portfolio
- Global Moderate Portfolio
- Global Conservative Portfolio
- Other ICON Fund<sup>1</sup> \_\_\_\_\_
- Fidelity Government Money Market Fund, Premium Class (FZCXX)<sup>2</sup>
- Equity Advisor Services' Cash Vehicle<sup>3</sup>

**To: New Investment Election** (Check One)

- U.S. Growth Portfolio
- U.S. Moderate Portfolio
- U.S. Conservative Portfolio
- U.S. Income Portfolio
- International Growth Portfolio
- Global Growth Portfolio
- Global Moderate Portfolio
- Global Conservative Portfolio
- Other ICON Fund<sup>1</sup> \_\_\_\_\_
- Fidelity Government Money Market Fund, Premium Class (FZCXX)<sup>2</sup>
- Equity Advisor Services' Cash Vehicle<sup>3</sup>

For each portfolio's risk profile, refer to the Investment Strategies in the current ICON Investment Management Agreement ("IMA"). The investments are securities and are subject to market fluctuation.

<sup>1</sup>Consider the investment objectives, risks, charges, expenses, and share classes of each ICON Fund carefully before investing. The prospectus and the statement of additional information contain this and other information about the Funds; please read the prospectus and the statement of additional information carefully before investing.

<sup>2</sup>The Fidelity Government Money Market Fund, Premium Class is not considered "cash." The investment in the fund are securities and are subject to market fluctuation. Please refer to the Fund's prospectus for more information. <sup>3</sup>Cash deposits at Equity Advisor Services are not guaranteed, but are FDIC insured. To learn more about EAS' FDIC coverage, please visit <https://portal.equityadvisorsolutions.com/equityapp/signin>.

**2. Fee Instructions**

Management fees may be affected by changes to your investment election. Please refer to the "Net Direct Management Fees" referenced in the current IMA for the ICON Tactical Allocation Portfolios' fee schedule.

The maximum Net Direct Management Fees for any assets invested in the ICON Funds, Fidelity Government Money Market Fund, Premium Class, or Equity Advisor Services' Cash Vehicle will be charged a flat fee of 1.5% annually with 100 basis points (66%) paid to the representative firm and 50 basis points (33%) retained at ICON unless otherwise stated below.

Indicate the fee and compensation rates below for the "New Investment Election," only. If this information is not supplied, ICON will use its discretion to determine and apply the appropriate fee schedule, carrying over the fee rate from the "Current Investment Election" or utilizing the fee rate referenced in the most current IMA. If you have any questions about the management fee schedule, contact ICON's Client Services Department at **1-800-828-4881**.

The management fee for the New Investment Election will be \_\_\_\_\_% annually with \_\_\_\_\_ basis points (\_\_\_\_%) compensated to the representative firm and \_\_\_\_\_ basis points (\_\_\_\_%) to ICON.

**3. Investment Objective**

What is your overall investment objective? Please check all that apply. If the investment objective you select is inconsistent with the investment portfolio you elect, additional documentation may be required. Please contact ICON with questions.

- Capital appreciation
- Modest capital appreciation and some income

**If your investment objectives differ from your selected portfolios, please explain:**

- I am meeting my objectives through other investments.
- I understand the discrepancy and still wish to proceed with this investment.

**4. Contribution / Distribution Instructions**

To cancel, establish, change an amount or the payee instructions to a systematic contribution or distribution, a new Systematic Request form is required. If these actions do not apply, please complete the instructions below.

- Continue the existing systematic instructions for the "Current Investment Election" referenced in the Investment Instructions, Section 1 of this document. **No changes.**
- Change the existing systematic instructions to the "New Investment Election" referenced in the Investment Instructions, Section 1 of this document.
- Other (*Please specify the dollar amount or percent to be invested in or distributed from each investment using the existing systematic amount.*) \_\_\_\_\_

# Tactical Allocation Portfolios (ITAP)

## Investment Change Request

ICON Advisers, Inc.  
8480 E Orchard Road, Suite 1200  
Greenwood Village, CO 80111  
(800) 828-4881 | fax: 303-790-8246

### 5. Special Instructions

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### 6. Signature(s)

By signing below I acknowledge and agree that:

- A. The management fee rate for the "New Investment Election" may differ from the management fee rate for the "Current Investment Election." I have reviewed the fees disclosed in the current IMA and agree to the changes to the management fee and compensation rate referenced in this document, if applicable.
- B. This Investment Change Request may take up to four business days to be completed due to procedures and trade settlement requirements. ICON is not responsible for any market fluctuation while this request is being processed.
- C. Assets will be transferred in-kind to the "New Investment Election" whenever possible and liquidated as necessary. I am responsible for any tax liabilities incurred in making this request.
- D. I will notify ICON in the event that there has been any change in my financial situation or investment objectives.
- E. I have read and understand the respective prospectuses and risk profile for the investment portfolio per the IMA.
- F. ICON will continue to manage the new investment portfolio according to the terms and conditions referenced in the IMA. I certify that all of the agreements, representations, and covenants previously stated in the original documents used to establish my account, including my annual income and investment objectives, are true and correct as of the date of the execution of this Investment Change Request unless otherwise stated, and that the IMA is incorporated into this Investment Change Request as if it were executed as of the date hereof.

**X** \_\_\_\_\_  
Account Holder Signature Date

**X** \_\_\_\_\_  
Joint Account Holder Signature Date

<b>FOR ICON ADVISERS USE ONLY</b>	
<b>Authorized by:</b> _____	<b>Date:</b> _____